

**UTILITY PATENT  
APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney Docket No.

020213A

Total Pages

First Named Inventor or Application Identifier

**MUKAI, Kohki**

Express Mail Label No.

APPLICATION ELEMENTS FOR:  
**OPTICAL SWITCH USING MULTIMODE  
INTERFEROMETER, AND OPTICAL  
DEMULTIPLEXER**

ADDRESS TO:  
Commissioner for Patents  
MAIL STOP PATENT APPLICATION  
P. O. Box 1450  
Alexandria, VA 22313-1450

1. [X] Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. [X] Specification Total Pages [37]

3. [X] Drawing(s) (35 USC 113) Total Sheets [15]

4. [X] Oath or Declaration Total Pages [5]

a. [ ] Newly executed (original)

b. [X] Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).

i. [ ] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. [X] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. [X] Assignment to Fujitsu Limited, Kawasaki, Japan in the prior Application Serial No. 10/092,551, filed on March 8,  
2002, has been recorded at Reel 012678, Frame 0495 on March 8, 2002.

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee)

[XX] Power of Attorney

22387 U.S. PTO  
10/755446  
011304

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10. ☐ English translation Document (if applicable)11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations12. ☒ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)14. ☐ Small Entity Status is claimed.15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Documents are enclosed.

a. Priority of Japanese Application No. 2001-316546 filed on October 15, 2001 is claimed under 35 USC 119.

16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 10/092,551

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	.. Basic Fee
The filing fee is calculated below				\$770.00
Total Claims	10 - 20		x \$18.00	
Independent Claims	3 - 3		x \$86.00	
Multiple Dependent Claims			\$290.00	
Total Basic Filing Fee				770.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				770.00

<p align="center"><b>UTILITY PATENT APPLICATION TRANSMITTAL</b></p> <p align="center">(Only for new nonprovisional applications under 37 CFR 1.53(b))</p>	Attorney Docket No.	020213A
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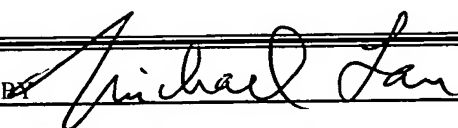
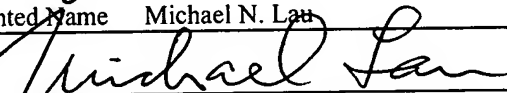
[XX] A check in the amount of \$ 770.00 is enclosed to cover the filing fee of \$770.00.

[ ] Please charge our Deposit Account No. **50-2866** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account no. 50-2866. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**  
**Customer No. 38834**

SUBMITTED BY 		
Typed or Printed Name	Michael N. Lau	Reg. No. 39,479
Signature		Date: January 13, 2004

Q:\Attorney Files\Lau Mike\020213A\New Application Transmittal.doc  
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